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17 Attorneys for Defendant

18 HOFFMAN-LA ROCHE INC.

19 **IN THE UNITED STATES DISTRICT COURT**  
 20 **FOR THE NORTHERN DISTRICT OF CALIFORNIA**  
 21 **(SAN FRANCISCO DIVISION)**

22 APPLIED BIOSYSTEMS, LLC, a Delaware  
 23 limited liability company

24 Plaintiff,

25 v.

26 HOFFMANN-LA ROCHE INC., a New Jersey  
 27 corporation

28 Defendant.

Case No. 3:10-CV-03096-RS

Hon. Richard Seeborg

**DEFENDANT HOFFMANN-LA ROCHE  
 INC.'S CERTIFICATION OF  
 INTERESTED ENTITIES PURSUANT TO  
 FED. R. CIV. P. 7.1 AND CIVIL L.R. 3-16**

Pursuant to Federal Rule of Civil Procedure 7.1 and Civil L.R. 3-16, the undersigned certifies that the following listed persons, associations of persons, firms, partnerships, corporations (including parent corporations) or other entities (i) have a financial interest in the subject matter in controversy or in a party to the proceeding, or (ii) have a non-financial interest in that subject matter or in a party that could be substantially affected by the outcome of this proceeding:

- 1 a) Roche Holdings Inc.
- 2 b) Roche Holding Ltd.
- 3
- 4 c) F. Hoffman-La Roche Ltd.
- 5 d) Roche Holdings AG
- 6 e) Roche Molecular Systems, Inc.
- 7
- 8 f) Novartis AG, a publicly-held company, owns more than 10% of the voting shares of
- 9 Roche Holding Ltd. Novartis AG has no representation on Roche Holding Ltd's board of directors
- 10 and does not in any way control Roche Holding Ltd or any of its subsidiaries.
- 11

12 DATED: November 2, 2010

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14 By /s/ Keith L. Slenkovich

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